



Trade in US Stock Exchange
directly with StockUSA– a unique
online trading facility by BMA
Wealth Creators

 **STOCK USA**
Execution Services, Inc.

Introduction

- Trade Directly to USA Exchange
- Commission on per trade not % basis.
- Cost of trading is lower then Indian Market*.
- **Electronic contract notes**
- Benefit of Bonus, Dividend and split.
- High Liquidity
- Direct Access to Exchange
- Security – Protection from [FINRA](#) and [NFA](#)



Stock USA Benefits



<ul style="list-style-type: none">• Wireless Mobile Trading	<ul style="list-style-type: none">• Unlimited shares
<ul style="list-style-type: none">• Free trading simulator	<ul style="list-style-type: none">• After hours trading
<ul style="list-style-type: none">• Training mode	<ul style="list-style-type: none">• Real time News & Alerts
<ul style="list-style-type: none">• NASDAQ Total View	<ul style="list-style-type: none">• Trailing Stops & OCO
<ul style="list-style-type: none">• Pre-defined orders	<ul style="list-style-type: none">• Black Box API
<ul style="list-style-type: none">• Level 2 Quotes	<ul style="list-style-type: none">• FREE Check Writing



Why trade in USA stock exchange?

- Highly regulated
- Ensures Transparency and Safety
- Advantages on market & economic growth
- High Liquidity
- Registered with FINRA, SIPC and NFA
- Direct access to the exchange
- Value Stock are at lower price.
- Lower cost of trading even compare to Indian market *.
- Electronic Contract Note (As it is Exchange traded platform.)
- RBI also allow to investment in stock in abroad market.

Instruments

- NASDAQ, NYSE, AMEX stocks
- ETF
- No Future (Leverage) trading.



INTERNATIONAL EXCHANGES* (Non USA)

Exchange	Exchange
London	Xetra
Paris	Stockholm
Amsterdam	Helsinki
Brussels	Madrid
Lisbon	Milan
Copenhagen	Oslo
Virt X	

*Note:-1% commission for International exchange (Non USA)



Trading Facilities

- **Trading** - FREE Level II Quotes*, Alerts, Automated Order Entry, Stop, Stop Limit, and Trailing stop loss orders.
- **Training Mode** - Our PRO level I & II platform enables you to trade in live mode and by one click on your mouse, immediately go into TRAINING mode. This means you can test out trades and train without risking your capital.
- **Pre-defined orders** - Our PRO software allows you to set up a pre-defined order that executes based on your alerts. You can have the software automatically send a buy, sell or short order on any stock as soon as your alert is triggered.
- **Stop loss orders** - Another feature is Nasdaq stop-loss orders that they are NOT market held, so no other trader will see orders until the trader is ready to get filled.*
- **Stop Limit and Trailing Stop orders** - Stop order types include stop market, stop limit and trailing stops. The trailing stops can be entered with a trailing amount price.*
- **Online Trading** - Web Based or platform based Stocks and Options.



Trading Facilities - 2

- **Range Orders** - PRO allows you to place both a stop order and a target price order at the same time. With one order, you can cover your downside with a stop order and protect your upside with a target order.
- **Basket Orders** - PRO lets you trade a basket of stocks that you define with just a click of the mouse. You can set up several baskets of your favourite stocks and trigger them all to buy or sell at once.
- **Real Time News** - You get real-time stock market news directly through your PRO software at no extra cost. You will get breaking news on takeovers, mergers, stock splits, analyst upgrades/downgrades and more.
- **Reserve Orders** - DAS can send reserve size orders are orders that show only a part of the available size, permitting subscribers to enter large orders that can be displayed and executed in part.
- **Market or Limit On Open or Close Orders** - The software gives traders the ability to take advantage MOO, MOC, LOM, and LOC orders.

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1. All transactions are executed through Stock USA Execution Services Member [FINRA](#), NYSE ARCA, NASDAQ, CBSX, NSX, [SIPC](#), & [NFA](#).
2. System response, trade executions and account access may be affected by market conditions, system performance, quote delays and other factors. The risk of loss in electronic trading can be substantial.
3. You should therefore consider whether such trading is suitable for you in light of your financial resources and circumstances.
 1. Stock USA Execution Services is registered in all 50 states and Puerto Rico.
 2. Stock USA Execution Services is a wholly owned subsidiary of Stock USA Financial, Inc.



Application Process

Here is the link to access the application:

<http://www.stockusainvest.com/NewAccountBMA.html>

Documents Require :

- Copy of passport, DL or PAN Card
- Copy of financial statement/utility bill to verify address
- W8 Ben – the link can be found under additional forms

[https://online.penson.com/\(giutk355a3weorgtagut5bak\)/CorrespondentDocuments/NewAcctsForms/W-8B.pdf](https://online.penson.com/(giutk355a3weorgtagut5bak)/CorrespondentDocuments/NewAcctsForms/W-8B.pdf)



RBI Guide line for Remittance

- For **buying shares** any individual can make a remittance under Liberalized Remittance Scheme with a maximum limit of **2,00,000 USD** in a financial years.

As per **Liberalised Remittance Scheme (updated up to September 17, 2010)**

Q.2. Please provide an illustrative list of capital account transactions permitted under the scheme.

- **Ans..** Under the Scheme, resident individuals can acquire and hold immovable property or shares or debt instruments or any other assets outside India, without prior approval of the Reserve Bank. Individuals can also open, maintain and hold foreign currency accounts with banks outside India for carrying out transactions permitted under the Scheme.

Link:- <http://www.rbi.org.in/scripts/FAQView.aspx?Id=66>

Account Protection /

Insurance - Stock USA Execution Services

- Member of [Securities Investor Protection Corporation \(SIPC\)](http://www.sipc.org), which protects securities customers of its members up to **\$500,000**, including a maximum **\$250,000** for cash claims. Explanatory brochure available upon request or at www.sipc.org.
- Our clearing firm, Penson Financial Services, has purchased an **additional insurance policy** through a group of London Underwriters (with Lloyds of London Syndicates as the Lead Underwriter) to supplement SIPC protection. This additional insurance policy becomes available to customers in the event that SIPC limits are exhausted and provides protection of securities and cash up to an aggregate of **\$600** million. This is provided to pay amounts in addition to those returned in a SIPC liquidation. This additional insurance policy is limited to a combined return to any customer from a Trustee, SIPC and London Underwriters of \$150 million, including cash of up to \$2 million. This additional insurance is through a third party provider and is NOT offered by the [Securities Investor Protection Corporation \(SIPC\)](http://www.sipc.org). This additional insurance does not protect against market depreciation, fluctuation or trading losses.



Funding Options

WIRE INSTRUCTIONS*

All wired funds are to be sent as follows:

JP Morgan Chase

1 Chase Manhattan Plaza

New York, NY 10081

ABA Number: 021000021

F/A: Penson Financial Services

Account Number: 066-6-00030

FFC: Customer Name & Customer Acct #

International Swift Code: CHASUS33

Contact us

Contact Person :- Subhra Kanti Dey

Mobile No: - 033-32619373

E-mail:- globalmarkets@bmastock.com

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